Late Barremian / Early Aptian Re-Os age of the Ipubi Formation black shales: stratigraphic and paleoenvironmental implications for Araripe Basin, Northeastern Brazil

Thales Lúcio[a,*], João Adauto Souza Neto[a], David Selby[b,c]

[a] Geochemistry Laboratory Applied to Petroleum, Department of Geology, Graduate Program in Geosciences, Federal University of Pernambuco, Recife 50.740-550, Brazil <thales.lucio@ufpe.br, adauto@ufpe.br>

[b] Department of Earth Sciences, Durham University, Durham, DH13LE, UK

[c] State Key Laboratory of Geological Processes and Mineral Resources, School of Earth Resources, China University of Geosciences, Wuhan, 430074, Hubei, China

* Corresponding author. Address: Universidade Federal de Pernambuco, Centro de Tecnologia e Geociências, Departamento de Geologia, Av. da Arquitetura, s/n, Cidade Universitária, 50740-550, Recife, PE, Brasil, Tel: +55 81 997220853

Abstract

The Ipubi Formation of the Santana Group, Araripe Basin, Brazil, is characterized by black shales and overlying evaporite deposits and is suggested to record the transition from lacustrine to marine depositional environments. To date, the age of the black shales, constrained only by microfossils, is poorly determined, with ages spanning ~25 myrs from 125 to 100.5 Ma (Aptian-Albian). Here we present new Re-Os elemental and isotopic data to provide the first absolute age for those rocks of the Ipubi Formation and an improved understanding of the depositional paleoenvironment of the Araripe Basin. The Re-Os isotope data for Ipubi Formation black shales yields a depositional age of 123 ± 3.5 Ma, with a highly radiogenic initial $^{187}Os/^{188}Os$ composition (Os_i) of 1.97 ± 0.02. The Re-Os age indicates that the deposition of the Ipubi Formation black shales occurred during the Late Barremian / Early Aptian, prior to the onset of OAE 1a, in a highly restricted marine / lacustrine setting.

Keywords

Geochronology; Rhenium-Osmium; Barremian / Aptian boundary; Restricted marine

1. Introduction

Located in northeastern Brazil, the Araripe Basin, in the Borborema Province, is characterized by a Pre-Cambrian basement that exhibits a predominant northeast-southwest structural orientation. As a result, the architecture of the Araripe Basin is strongly characterized by horsts and grabens, that were formed in association with the rifting of Gondwana and the opening of the South Atlantic during the earliest Cretaceous (Hauterivian; Fig. 1; Matos, 1992; Ponte and Ponte Filho, 1996). The Araripe Basin comprises the Vale do Cariri, and the Chapada do Araripe regions that exhibit positive, tabular, and elongated E-W relief with sedimentary strata that gently dip to the west (Assine, 2007). Deposited into an intracratonic setting, the Paleozoic Cariri Formation represents the earliest sedimentation in the basin (Assine, 2007). Mesozoic successions comprise the pre-rift Cretaceous Neocomian Brejo Santo and Missão Velha formations, syn-rift Berriasian-Hauterivian Abaiara Formation, post-rift I Aptian-Albian Santana Group (Barbalha, Crato, Ipubi and Romualdo formations), and the post-rift II Albian-Cenomanian Araripe Group (Araripina and Exu formations; Fig. 2; Ponte and Ponte Filho, 1996; Batten, 2007; Assine, 2007; Scherer et al., 2014; Assine et al., 2014; Neumann and Assine, 2015; Fambrini et al., 2019).

The Araripe Basin has received significant attention, mainly because of its rich fossil content of the Santana Group (Crato and Romualdo formations) which have been utilized for paleoenvironmental, paleoclimatic, paleoecologic and paleogeographic reconstructions (Beurlen, 1964; Lima, 1978; Arai, 2012, 2014; Tomé et al., 2014; Sucerquia et al., 2015; Prado et al., 2015; Pereira et al., 2016; Field and Martill, 2017; Oliveira and Kellner, 2017). The Crato Formation includes one of the most critical terrestrial arthropod assemblages in the world due to the presence of primitive mayfly, dragonfly, earwig, grasshoppers, beetles, butterflies, spiders and scorpions (Martill et al., 2007). Ostracods, conchostracans and a rare caridean shrimp represent the crustaceans (Schweigert et al., 2007). Fossilized fish are dominated by *Dastilbe crandalli*, *Cladocyclus*, *Lepidotes* and *Araripelepidotes* (Davis and Martill, 1999), with *Vinctifer*, *Cladocyclus*, *Rhacolepis*, *Notelops*, *Mawsonia* and *Axelrodichthys* also being present in the Romualdo Formation. Further, well preserved wing membranes and wing fibres, claw sheaths, foot webs, and a heel pad of pterosaurs (*Arthurdactylus*, *Ludodactylus*, *Ingridia*, *Santanadactylus*, *Araripesaurus*, *Cearadactylus*, *Brasileodactylus*, *Anhanguera*, *Lacusovagus*) have been discovered from the Crato and

Romualdo formations (Martill and Unwin, 1989; Martill and Frey, 1998; Frey et al., 2003; Unwin and Martill, 2007; Witton, 2007), with additional dinosaurs (*Irritator*, *Angaturama*, *Santanaraptor* and *Mirischia*) being known in the Romualdo Formation (Kellner, 1996, 1999; Martill et al., 1996, 2000).

The age atributted to the whole ~~entire~~ Santana Group is indicated ~~characterized~~ by the *Cytheridea* spp. 201-208 Zone (NRT-011; Fig. 3; Coimbra et al., 2002). In contrast, ~~the diverse~~ palynomorphs reported to this group define two palynozones: the *Sergipea variverrucata* Zone (Barbalha and Crato formations) and the *Cicatricosisporites avnimelechi* Zone (Ipubi and Romualdo formations; Coimbra et al., 2002). These zones coincide with the interval between the Rio da Serra and Alagoas local stages (Berriasian to Aptian). Nevertheless, microfossils (e.g. *Pattersoncypris angulata*, *Pattersoncypris micropapillosa*, *Alicenula leguminella*) present in the Santana Group suggest that the Ipubi and Romualdo formations were deposited during the temporal framework of the Aptian and Albian stages (Regali, 1990; Coimbra et al., 2002). The presence of *Darwinula* in the ~~argillite~~ greenish mudstone that ~~infill~~ occurring filling fractures ~~in~~ crosscutting the evaporites overlying the black shales (both lithologies of the Ipubi Formation) and the presence of a single gyrogonite of Charophyta, has resulted in a *Darwinula-Charophyta* association for the Crato, Ipubi and Romualdo formations. Thus, this is considered to ~~which~~ constrain the age of the Ipubi Formation black shales to the Aptian and Albian stages (Fig. 3; Silva, 1975; Silva-Telles and Vianna, 1990; Neumann, 1999; Tomé et al., 2014).

Therefore, ~~our current knowledge of~~ until now the age ~~and evolution~~ of the Ipubi Formation is based solely on biostratigraphy, which constrains the deposition of the Ipubi Formation to a ~25 myr interval ~~that encompasses both~~ within the Aptian and Albian. To provide an improved understanding of the deposition timing of the Ipubi Formation and the entire Santana Group, and the evolution of the Araripe Basin, here we apply the rhenium-osmium isotope chronometer to the Ipubi Formation black shales and in turn show that the formation can be tied to the latest Barremian / earliest Aptian that was deposited in a lacustrine/highly restricted marine-influenced paleo-setting.

2. Geological aspects of the Ipubi Formation

The Ipubi Formation stratigraphically lies between the lacustrine limestones of the underlying Crato Formation and the marine calciferous sandstones and mudstones of the

overlying Romualdo Formation (Ponte and Appi, 1990; Assine, 1992, 2007; Neumann and Cabrera, 1999; Assine et al., 2014; Neumann and Assine, 2015). Previous research has proposed that the post-rift phase I interval is represented by the Santana Formation, with the Crato, Ipubi and Romualdo being members, or even named differently (e.g., Santana Formation instead Romualdo Formation; Beurlen, 1971; Lima, 1979; Assine, 1994; 2007; Martill, 2007). More recently, it has been suggested that the Ipubi black shales and evaporites is a unit within the Crato Member (limestones) of the Santana Formation, with the different lithologies recording lateral variations that were deposited contemporaneously based on the order of marine evaporites and the absence of subaerial exposure and erosion (e.g., Bobco et al., 2017; Goldberg et al., 2019). However, regional lithology interdigitation of the Crato Formation with the Ipubi Formation evaporite is not observed (Bobco et al., 2017; Goldberg et al., 2019). Further, the proposal of interdigitation does not consider the presence of regionally recognized subaerial exposure that separates the Crato, Ipubi, and Romualdo units (Silva, 1986; Neumann and Cabrera, 1999; Assine et al., 2014; Neumann and Assine, 2015; Fabin et al., 2017). For example, the top of the Crato Formation is composed of calcrete formed under subaerial conditions (Neumann and Cabrera, 1999; Fabin et al., 2017), and the top of Ipubi Formation that is characterized by a subaerial exposure and erosion (karst surface) marked by large isolated columns and scattered depressions, pits and escarpments composed by clasts of gypsum, shale, fine-to-medium sandstone and quartz pebbles (Silva, 1986; Fabin et al., 2017). Lastly, based on mapping, stratigraphical correlation and sequence stratigraphy (well-known criteria considered to establish lithostratigraphic units according to Stratigraphic Guide by International Commission on Stratigraphy), the stratigraphic status of Ipubi and Santana units were changed to “Formation” and “Group”, respectively (Neumann and Cabrera,1999; Neumann and Assine, 2015), Based on the latter and our observation in both outcrop and boreholes we adopt the stratigraphic proposal of Neumann and Assine (2015).

In the post-rift phase I tectonic-sedimentary sequence of Araripe Basin, the Ipubi Formation comprises an evaporite (gypsum and anhydrite) interval 12-30 m thick associated with black shales of up to 5 m thick from its base, totaling 30 to 40 m in thickness (Neumann and Assine, 2015; Fabin et al., 2017). In the southwestern border region of the Araripe Basin, this formation directly overlies the Precambrian basement units (3.4 to 2.1 Ga; Silva et al., 1997; Fetter et al., 1999; Sato et al., 2012; Ancelmi,

2016; Martins, 2017; Vale, 2018). In contrast, in the northeastern region of the basin the Ipubi Formation overlies limestone of the Crato Formation or units of older (Early Cretaceous) tectonic-sedimentary phases of the basin (Neumann and Cabrera, 1999; Assine et al., 2014; Fabin et al., 2017). Concerning the representative area chose to sampling in this contribution, in the southwestern part of the basin, the Ipubi Formation black shales are characterized by dark to gray shales, mudstones, and carbonates, laminated, ostracode-rich, with pyrite and are bituminous (this study; Assine et al., 2014; Goldberg et al., 2019). Regionally, the upper portion of the black shale interval is interbedded with the gypsum lenses (< 10 cm wide) and bedding-parallel fibrous gypsum veins (Fabin et al., 2017). In the middle of the evaporite sequence, a sub-horizontal unconformity can regionally be observed in both the southwestern and northeastern borders of the basin (Souza Neto et al., 2013), which is filled by succession (40-60 cm wide) composed, from bottom to top, by plant fossil-bearing greenish mudstones, ostracd-conchostracan-rich laminated marls and thin black shales, and with gypsum-lenses (Souza Neto et al., 2013; Assine et al., 2014; Goldberg et al., 2019). Detailed petrographic observations and XRD analyses indicate that the black shales are predominantly composed of clay minerals (essentially illite-smectite), calcite, K-feldspar, quartz and minor celestite, apatite, and sulfides (Souza Neto et al., 2013; Nascimento Jr et al., 2016). The rocks are organic matter rich (TOC > 10 to 29 %; Souza et al., 2013; Castro et al., 2017), with both liquid chromatography data ((saturated + aromatic)/(polar) hydrocarbon ratios from 0.12 to 0.88; Lúcio et al., 2016a) and pyrolysis data indicating the shales to be hydrocarbon immature (T_{max} < 435° C and PI < 0.1; Castro et al., 2017) but endowed with a large gas potential (S_2 < 200 mg/g; Castro et al., 2017). Pristane/Phytane ratios of < 1 (Silva et al., 2014; Castro et al., 2017) and V/(V + Ni) ratios between 0.6 and 0.8 (Lúcio et al., 2016b) of the shales propose deposition under reducing conditions.

The presence of dynoflagellates (*Spinierites* and *Subtilisphaera*; Arai and Coimbra, 1990) and palynoforaminifers (organic linings and allied material; Lima, 1978; Arai, 2012; Goldberg et al., 2019), predominance of odd-to-even *n*-alkanes (Silva et al., 2014), and low organic phosphorus content (< 2 %; Souza Neto et al., 2013) in the black shales is interpreted to indicate deposition in a marine setting, which is supported by the sulphur isotope data ($\delta^{34}S$ = ~10 to 18 ‰; Bobco et al., 2017) of the overlying evaporite unit. However, a pure marine setting for Ipubi Formation black shales is not supported

by the presence of non-marine ostracods (*Harbinia alta* and *Darwinula*; Antonietto et al., 2012; Tomé et al., 2014) and lacustrine facies (Assine, 2007; Assine et al., 2014). Based on the presence of evaporites, the Southern Australian sabkha environment has been proposed as a modern day analogue model for the paleogeography of the Ipubi Formation (Silva, 1988; Oliveira et al., 1979; Assine, 2007; Assine et al., 2014; Bobco et al., 2017; Fabin et al., 2017; Goldberg et al., 2019). being known as a shoreline with a restricted connection to the open ocean (Warren, 2016).

3. Sampling and analytical methods

Nine samples of black shales were collected from the Ipubi Formation in the southwestern portion of the Araripe Basin from the open pit Campevi mine in Gergelim County that excavates the evaporate of the Ipubi Formation (Fig. 2). The Ipubi Formation black shales occur stratigraphically below the evaporite sequence and are present beneath the quarry floor. To sample the formation, trenches in the quarry floor were dug about 1 m vertically beneath the surface exposure and ~4 m laterally from each other. The trenches were dug to expose a 1 m stratigraphic interval of the Ipubi Formation black shales (Fig. 4). All exposed surfaces showed black shales with variations in their facies (described below), were unweathered and care was taken to avoid zones of fractures and gypsum-veins and -lenses that were present in some trenches. The stratigraphic profile (Fig. 4) of the black shale unit comprises from bottom to top a 20 cm thick bituminous mudstone with an incipient laminar structure (~20 cm wide) that is overlain by a 30 cm interval of bituminous black shale exhibiting a millimeter to centimeter intercalated succession of light-coloured marls. The latter is overlain by 50 cm interval of bituminous laminated black shale that is fossiliferous (plants, preferentially preserved with a brown and phosphate-rich coating), with pyrite-bearing nodules (< 5 mm diameter), and gypsum-rich lenses (> 3 cm long). Samples were collected at a same deep position, about 1 m, predominantly from the mudstone and black shale interbedded marl horizons (see Table 1 for detail).

At the Laboratory of Geochemistry Applied to Petroleum at the Federal University of Pernambuco, the samples were washed with deionized water, then dried (at 60 °C for ~12 h) in an oven and powdered (~25 g) in an electric agate grinder (Pulverisette 7 classic line). The organic matter (OM %) and carbonate contents ($CaCO_3$ %) were obtained by weight-loss (at 360 °C for ~4 h and 1050 °C for ~1 h, respectively) and

aluminium content (Al ppm) by Energy Dispersive X-ray Fluorescence (EDXRF), respectively (Table 1). The Al content was used to calculate the enrichment factor of both Re and ^{192}Os content in the organic-rich sampled horizon (Algeo and Maynard, 2004; Tribovillard et al., 2006; Table 1).

The Re and Os isotopic compositions and elemental abundances for the rock powders were determined at the Durham Geochemistry Center at Durham University. Approximately ~1 g of sample powder was digested with a mixed tracer (spike) solution of ^{190}Os and ^{185}Re in a Cr^{VI}–H_2SO_4 solution at 240 °C for ~48 h (cf. Selby and Creaser, 2003). The Cr^{VI}–H_2SO_4 dissolution media selectively liberates the hydrogenous Re and Os from the sediment limiting any detrital contribution (Selby and Creaser, 2003; Kendall et al., 2004). Rhenium and osmium were purified from the acid solution using solvent extraction, micro-distillation and anion chromatography methods. The purified Re and Os fractions were loaded onto Ni and Pt filaments, respectively (Selby et al., 2007), with the isotopic measurements conducted using negative thermal ionization mass spectrometry (Creaser et al., 1991) on a Thermo Scientific TRITON mass spectrometer via static Faraday collection for Re and ion-counting using a secondary electron multiplier in peak-hopping mode for Os in the Arthur Holmes Laboratory at Durham University.

The uncertainties for $^{187}Re/^{188}Os$ and $^{187}Os/^{188}Os$ was performed by error propagation incorporating uncertainties from the Re and Os mass spectrometer measurements, total blank abundances (Re = 12 ± 1 pg, Os = 0.08 ± 0.02 pg) and Os isotopic composition ($^{187}Os/^{188}Os$ = 0.23 ± 0.01), spike calibrations, and reproducibility of standard Re and Os isotopic values. The Re-Os isotopic data, 2σ calculated uncertainties for $^{187}Re/^{188}Os$ and $^{187}Os/^{188}Os$ and the associated error correlation function (rho; Ludwig, 1980) are regressed using the beta version of Isochron program (Li et al., 2019) which incorporates the benchmark Isoplot algorithm (Ludwig, 2012) and the Monte Carlo sampling method for error propagation to yield a Re-Os age using the λ ^{187}Re constant of $1.666e^{-11} \pm 5.165e^{-14}$ a^{-1} (Smoliar et al., 1996).

In the beta version of Monte Carlo Isochron technique (Li et al., 2019), a prescribed number of isochrons (10^6) are created from the input data and their corresponding probability density function (analytical uncertainty of $^{187}Re/^{188}Os$ and $^{187}Os/^{188}Os$ values, and their error correlation, rho). The age and Os_i estimate for each iteration are

crossed plotted yielding a probabilistic distribution that includes analytical uncertainty. Model uncertainties, those attributed to the isochron linear regression, are also calculated. In the Isoplot program (Ludwig, 2012), a Model 1 age implies that the assigned 2σ uncertainties and calculated error correlations are the only cause of the scatter in the data-points from the regression line; whereas a Model 2 best-fit assigns equal weight and zero error-correlations to each point; in contrast, a Model 3 age assumes that the scatter about the isochron line may be linked to both geological factors that produce variation in the initial $^{187}Os/^{188}Os$ values and the assigned analytical uncertainties. The isoplot program also yields the Mean Square of Weighted Deviates (MSWD), a measure of the deviation of the data points from the regression line that is strongly controlled by calculated uncertainties and error correlations.

4. Results

The sampled units from the Ipubi Formation black shales possess between 23.8 and 45.8 % of $CaCO_3$, 5.1 and 18.3 % of organic matter (OM), and 4802.9 and 64566.5 ppm of Al (Table 1). Black shale interbedded marl samples possess the highest $CaCO_3$ and lowest Al contents (Table 1). The total Re, total Os and ^{192}Os (best estimate of hydrogenous osmium) concentrations for the Ipubi Formation black shales sequence are 0.61-33.73 ppb, 26.8-273.5 ppt, and 8.6-76.6 ppt, respectively (Table 1). Both Re and Os are enriched, except for the Os abundance for sample TM07, compared to the upper continental crust (0.2-2 ppb Re and 30-50 ppt Os; Esser and Turekian, 1993; Sun et al., 2003). The enrichment factor (EF) value for Re and ^{192}Os ranges between 0.69 and 378.29, and 440.53 and 28062.1, respectively (Table 1). An enrichment factor greater than 1 is considered to indicate that the element is enriched relative to that of average shale, with an enrichment factor less than 1 being depleted (Tribovillard et al., 2006). Given this principle, only sample TM09 from Ipubi Formation black shale is depleted in Re, with all black shale interbedded marl samples exhibiting the highest level of enrichment in both Re and ^{192}Os (Table 1). In order to allow a direct comparison of hydrogenous Os concentrations in the different samples, the ^{192}Os abundance is used to avoid the addition of radiogenic ^{187}Os from ^{187}Re decay following deposition. A broad positive correlation exists between ^{192}Os (r = 0.75) and Re (r = 0.65) and OM elemental, and enrichment factor values with OM (Fig. 5), suggesting an uptake mechanism that is possibly linked to the abundance of organic matter (Georgiev et al., 2012; Rooney et al., 2012). Although, a correlation between OM and Re and ^{192}Os is

not always observed (Rotich et al., 2020 and references therein). In case of the Ipubi Formation black shales, the broad relationship between OM and Re and ^{192}Os may also suggest that the samples were not affected by oxidative weathering, particularly as the Os_i values for Ipubi Formation black shales are positive (Table 1), which has been suggested to not indicate disturbance to the Re-Os system through oxidative weathering (Jaffe et al., 2002; Georgiev et al., 2012).

The $^{187}Re/^{188}Os$ (85.5 to 875.6) values positively correlate with their corresponding $^{187}Os/^{188}Os$ (1.922 to 3.757) compositions (Table 1). Regression of all the Re-Os isotope data using the Isoplot program (Ludwig, 2012) yields a Model 3 (discussed above) isochron age of 130.22 ± 12.57 (12.59 - bracketed value here and below includes uncertainty in the decay constant) Ma (n = 9; Mean Square of Weighted Deviates [MSWD] = 58.5), with an Os_i of 1.91 ± 0.11 (Fig. 6). An essentially identical age (130.2 ± 11.68 [11.69] Ma) and Os_i (1.91 ± 0.10) is determined from the beta version of the Isochron program, which incorporates a new approach that employs the Monte Carlo sampling method for error propagation (Li et al., 2019) and the benchmark Isoplot algorithm (Ludwig, 2012), except that the uncertainty in the age is slightly smaller, but the Monte Carlo approach highlights that 80% of the uncertainty relates to the model age calculation (Fig. 6). This uncertainty was also shown by the Re-Os data of organic-rich rocks of the Green River Formation, USA (Pietras et al., 2020) and East Coast Basin, New Zealand (Rotich et al., 2020).

5. Discussion

5.1 Re-Os isotopic systematics of the black shales from Ipubi Formation

The application of the Re-Os geochronometer has permitted the determination of accurate and precise depositional ages for lacustrine, fluvio-deltaic and marine organic-rich sedimentary rocks (e.g., Ravizza and Turekian, 1989; Cohen et al., 1999; Kendall et al., 2004; Selby and Creaser, 2005b; Kendall et al., 2006; Selby, 2007; Creaser et al., 2008: Kendall et al., 2009a; Selby et al., 2009; Yang et al., 2009; Poirier and Hillaire-Marcel, 2009, 2011; Baioumy et al., 2011; Cumming et al., 2012; Cumming et al., 2013; Tripathy and Singh, 2015; Xu et al., 2017; Pietras et al., 2020). Given the chalcophilic, siderophilic, and organophilic behaviour of Re and Os, they are found primarily in organic and sulphide phases. In organic-bearing sedimentary units Re and Os has been shown to be hydrogenous (derived from sequestration from the water

column of the depositional setting) and primarily associated with/bound to organic matter (Ravizza and Turekian, 1989; Cohen et al., 1999; Selby and Creaser, 2003; Morford et al., 2005; Georgiev et al., 2011; Rooney et al., 2012).

As for other geochronological methods (e.g., Sm-Nd, Rb-Sr), the Re-Os chronometer utilizes the isochron technique to form a best-fit line of the $^{187}Re/^{188}Os$ vs $^{187}Os/^{188}Os$ data from an isochronous dataset. The degree of fit to the best fit line depends on the uncertainties associated with the Re and Os data (York, 1969) and are represented as a model classification (1, 2 or 3) that is based on the MSWD (reduced R^2 parameter; Ludwig, 2003). ~~A Model 1 best-fit of the data only takes into consideration the assigned uncertainties, whereas a Model 2 best-fit assigns equal weights and zero error-correlations to each point, and Model 3 best-fit presumes that the scatter is due to a combination of the assigned uncertainties and an unknown but normally distributed variation in the ordinate axis values.~~

Collectively both the Isoplot (Model 3 and high MSWD = ~58) and Monte Carlo (~80% of the uncertainty derived from the model age calculation) approaches highlight that all the Re-Os data do not fully satisfy the requirements to develop a precise isochron (Fig. 6A and 6B). The uncertainty in the Re-Os age (~12 myr) and the high MSWD value (~58, higher than the ideal value of ~1) determined from all the Re-Os data (n = 9) suggests that geological factors are the cause of the scatter of the data from the best-fit line. This could relate to the sample set possessing variable initial $^{187}Os/^{188}Os$ and/or post-depositional disturbance to the Re-Os systematics that could be related to fracturing and gypsum veining that were present in some trenches (Yang et al., 2009; Kendall et al., 2009b; Tripathy et al., 2014). A possible explanation for the high MSWD value for the best fit of all the Re-Os data for the nine samples from Ipubi Formation black shales is demonstrated by the range in the Os_i at 130 Ma (1.74 to 1.98; Table 1). For eight of the nine samples the calculated individual initial $^{187}Os/^{188}Os$ compositions at 130 Ma range from 1.86 to 1.98 (individual uncertainties are ± 0.02 - 0.03; Table 1). Sample TM09 yields a distinct initial $^{187}Os/^{188}Os$ value of 1.74 at 130 Ma. This sample exhibits the largest deviation from the 130 Ma best fit line of 8.3 %, whereas the other samples deviate between 0.3 and 2.1 %. Sample TM09 possesses an enrichment factor for Re of 0.69 suggesting that Re is depleted relative to the average upper continental crust in this sample, which may explain its deviation in the isochron or that the water column $^{187}Os/^{188}Os$ composition at the time of sediment deposition fluctuated across the

sampled interval. Regression of the Re-Os data without sample TM09 yields a much more precise Isoplot Model 3 age of 124.7 ± 5.90 [5.93] Ma (initial $^{187}Os/^{188}Os$ = 1.97 ± 0.05, MSWD = 16.9) (Fig. 6C). This determined age is essentially identical to the Monte Carlo approach (124.7 ± 5.63 [5.66]; initial $^{187}Os/^{188}Os$ = 1.97 ± 0.05) which also highlights that the age uncertainty is now more controlled (30 %) by the analytical uncertainty in the data (Fig. 6D). Although this Re-Os age is more precise, scatter about the best-fit line is still evident from the MSWD value of ~17, and that uncertainties in the model age calculation control the overall uncertainty in the derived age. Sample TM05 exhibits the largest deviation (1.9 %) from the ~125 Ma line of best-fit, whereas the other samples deviate only between 0.1 and 0.9 %. This deviation is also highlighted by sample TM05 possessing a distinct initial $^{187}Os/^{188}Os$ value of 2.03 at 125 Ma, whereas the other samples (with the exception of TM09) yield an average initial $^{187}Os/^{188}Os$ value of 1.97 at 125 Ma (Table 1). The Re-Os data of this study suggests that samples TM05 and TM09 possess different Os_i compositions to that of the remaining sample set (Table 1). Regression of the Re-Os data without samples TM05 and TM09 (Fig. 6E) yields an Isoplot Model 3 age of 124.04 ± 4.88 [4.91] Ma (initial $^{187}Os/^{188}Os$ = 1.96 ± 0.05, MSWD = 6.6). Again, this calculated age is essentially identical to the Monte Carlo approach (123.99 ± 4.65 [4.68]; initial $^{187}Os/^{188}Os$ = 1.97 ± 0.05) and further shows that the age uncertainty is almost controlled equally between analytical and calculated model age uncertainties (Fig. 6F). Again, although this Re-Os age is more precise, scatter about the best-fit line is still evident from the MSWD value of 6.6 (still higher than the ideal value of ~1), and that uncertainties in the model age calculation control the overall uncertainty in the derived age. Sample TM06 exhibits the largest deviation from the linear regression (0.8 %; Fig. 6E), and exhibits a nominally more radiogenic initial $^{187}Os/^{188}Os$ composition (2.00 ± 0.02) in comparison to the remaining samples (TM01-04, TM07-08; Os_i = 1.95-1.98 ± 0.02 - 0.03 [average 1.96 ± 0.01 1 S.D.]; Table 1).

~~The isochron approach requires that the Re-Os systematics of the sample set meet the following criteria: (i) possess identical initial $^{187}Os/^{188}Os$ ratios, (ii) exhibit sufficient spread in $^{187}Re/^{188}Os$ ratios of at least a few hundred units, and (iii) the Re-Os systematics remain undisturbed (Cohen et al., 1999; Selby and Creaser, 2005a). Hence, the Ipubi Formation black shales show the Os_i at 123 Ma range from 1.75 to 2.05 (Table 1).~~ The Re-Os data of this study shows that samples TM05, TM06 and TM09 possess

different Os_i compositions to that of the remaining sample set (Table 1). Regression of the Re-Os data without TM05, TM06 and TM09 yields an Isoplot Model 1 age of 122.87 ± 1.53 [1.58] Ma (initial $^{187}Os/^{188}Os$ = 1.97 ± 0.02, MSWD = 1.04; Fig. 6G). Again, this calculated age is essentially identical to the Monte Carlo approach (122.61 ± 3.50 [3.52]; initial $^{187}Os/^{188}Os$ = 1.97 ± 0.02) and shows that the age uncertainty is more controlled (60 %) by the analytical uncertainties than the calculated model age uncertainties (Fig. 6H). Moreover, the greater uncertainty in the age derived by the Monte Carlo approach (± 3.50 [3.52]; Ma) further illustrates that a Model 1 Isoplot outcome underestimates the total age uncertainty arising from only considering analytical uncertainties (Li et al., 2019).

Given the isochronous behavior of the Re-Os data for six of the nine samples, which possess very similar initial $^{187}Os/^{188}Os$ composition, the moderate different initial $^{187}Os/^{188}Os$ values of TM05, TM06 and TM09 are considered to reflect changes in the $^{187}Os/^{188}Os$ composition of the water column during deposition rather than disturbance to the Re-Os systematics. Here, we consider the best estimate of the depositional age of the Ipubi Formation black shales to be 122.61 ± 3.50 [3.52] Ma.

The Re-Os age of ~123 Ma the Ipubi Formation black shales suggests that the studied samples were deposited during the latest Barremian/earliest Aptian. The new Re-Os age provides a significant improvement to the previous age determinations of Aptian to Albian (125 – 100.5 Ma) defined by relative dating age methods (Fig. 3; Coimbra et al., 2002; Tomé et al., 2014). Further, including the Re-Os age uncertainty, the Ipubi Formation black shale represents deposition just prior to the onset of OAE 1a (Arthur et al., 1990; Tejada et al., 2009; Jenkys, 2010). Moreover, this study suggests that, among the formations that constitute the Santana Group in the Araripe Basin, only the Romualdo (calciferous mudstone and sandstone) and Ipubi (black shales and evaporites) formations could be placed in the Aptian-Albian interval, whereas the Crato (calcareous rocks) and Barbalha (sandstone and mudstone) formations are older than the earliest Aptian (Fig. 2, 7). The latter age interval is emphasized by both palynological (e.g., *Afropollis jardinus*, *Classopollis classoides*) and ostracodal (e.g., *Damonella ultima*, *Damonella tinkoussouensis*) assemblages found in Santana Group (Coimbra et al., 2002; Neumann et al., 2003; Tomé et al., 2014; Nascimento et al., 2017), which are the same species found in Late Barremian successions worldwide (Hughes and McDougall, 1990; Bate, 1999; Gómez et al., 2001; Vallati, 2013).

389

390 *5.2 ~~The beginning~~ Insights into the timing of the marine incursion in the Araripe*
391 *Basin*

392 The timing of the marine incursion in the Araripe Basin is extensively debated. Among
393 the proposals, it is considered that the deposits of the Romualdo Formation (calciferous
394 mudstone and sandstone) are the first records of a marine incursion in the Araripe Basin
395 based on the presence of marine fossils (fishes, ostracods, gastropods, dynoflagellates
396 and microforaminiferal linings; Lima, 1978; Arai and Coimbra, 1990; Maisey, 2000;
397 Bruno and Hessel, 2006; Pereira et al., 2016) and facies associations (depositional
398 sequences comprising transgressive and highstand system tracts; Assine, 2007; Rojas,
399 2009; Assine et al., 2014; Neumann and Assine, 2015; Custódio et al., 2017). Evidence
400 for marine incursion during the deposition of the Ipubi Formation black shales is based
401 on the occurrence of both dynoflagellates (*Spinierites* and *Subtilisphaera*; Arai and
402 Coimbra, 1990) and palynoforaminifers (organic linings and allied material; Lima 1978;
403 Arai, 2012; Goldberg et al., 2019), odd-to-even *n*-alkanes distribution (Silva et al.,
404 2014), N/Vi ratios (0.13-0.49; Lúcio et al., 2016b) and Type II kerogen (Menezes,
405 2017). Further, some paleoceanographic/geographic reconstructions suggest that the
406 Araripe Basin was, in part, based on the presence of microfossils (noted above), a
407 significantly restricted NW-SE oriented marine basin that received incursion of the
408 Western Tethys Sea via the northeastern Brazilian São Lúis and Parnáiba basins (Arai,
409 2014) (Fig. 8). In contrast, based on paleocurrent measurements in the Araripe and
410 Tucano basins, a marine incursion into the Araripe Basin is also argued to have
411 occurred from the south from the Proto South Atlantic (Assine et al., 2014; 2016).

412 The present-day open ocean $^{187}Os/^{188}Os$ composition of ~1.06 reflects the balance of
413 inputs between radiogenic sources (average $^{187}Os/^{188}Os$ composition of the weathering
414 of upper continental crust via riverine input, ~1.4) and non-radiogenic sources
415 ($^{187}Os/^{188}Os$ ~0.13; cosmic dust, hydrothermal fluids, and weathering of mafic or
416 ultramafic rocks; Esser and Turekian, 1993; Levasseur et al., 1998; Sharma et al., 1999;
417 Woodhouse et al., 1999; Peucker-Ehrenbrink and Ravizza, 2000; Hannah et al., 2004).
418 The current best estimate for the Late Barremian to Early Aptian (age of the Ipubi
419 Formation black shales) open ocean $^{187}Os/^{188}Os$ composition is ~0.6 to 0.7 (Tejada et
420 al., 2009; Bottini et al., 2012).

In lacustrine, fluvio-deltaic and restricted marine depositional settings the $^{187}Os/^{188}Os$ value of the water column can be highly radiogenic, e.g., ≥1.0 to 7.8 (Peucker-Ehrenbrink and Ravizza, 1996; Creaser et al., 2008; Poirier and Hillaire-Marcel, 2009, 2011; Baioumy et al., 2011; Cumming et al., 2012; Du Vivier et al., 2014; Tripathy et al., 2015; Xu et al., 2017; Pietras et al., 2020). Given the difference between the highly radiogenic $^{187}Os/^{188}Os$ value (1.75-2.05 at 123 Ma; Table 1) of the Ipubi Formation black shales to that of the Late Barremian to Early Aptian open ocean (~0.6 - 0.7) the initial $^{187}Os/^{188}Os$ data would suggest a predominantly continental source for Os to the Araripe Basin from the weathering of basin adjacent Proterozoic to Archean igneous, metaigneous and metasedimentary units (Silva et al., 1997; Fetter et al., 1999; Sato et al., 2012; Ancelmi, 2016; Martins, 2017; Vale, 2018). Therefore, based on our Os_i values (average of 1.97 without TM05, TM06 and TM09 samples), coupled with biostratigraphy, organic and inorganic geochemistry and paleoceanographic reconstructions outlined above, we propose that the water mass associated with the deposition of the Ipubi Formation black shales during the Barremian/Aptian boundary was highly restricted~~. and, probably, would have received its marine influence from the western Tethyan Sea, as proposed by Arai (2014).~~

6. Conclusions

This study provides the first absolute time constraints for the Ipubi Formation black shales of the Santana Group at 123 ± 3.5 Ma. The age derived from Re-Os geochronology constrains deposition to the latest Barremian to the earliest Aptian, nominally prior to the onset of OAE 1a. The highly radiogenic initial $^{187}Os/^{188}Os$ composition (1.75 - 2.054) of the Ipubi Formation black shales coupled with widely recognized paleontological, geochemical evidence, together with paleoceanographic reconstructions, suggests that the Araripe Basin was a highly restricted water mass that was also marine influenced ~~and would have received its marine influence from the western Tethys Sea~~. The latter is in temporal agreement with a global eustasy rise during the Mid Cretaceous.

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FIGURE CAPTIONS

Fig. 1. Location of the Araripe Basin (highlighted by the red box) within the geotectonic context of the Borborema Province. MCD: Médio Coreaú Domain; CD: Cearence Domain; RGND: Rio Grande do Norte Domain; TZD: Transversal Zone Domain; SD: South Domain (Modified from Matos, 1999; Medeiros, 2004).

Fig. 2. Simplified map of the Araripe Basin to represent sampling location (Modified from Assine, 2007).

Fig. 3. Schematic stratigraphic profile and micropaleontology assemblage of the Santana Group, Araripe Basin (based in Coimbra et al., 2002; Tomé et al., 2014).

Fig. 4. Sampling strategy and composite stratigraphy of the 1m interval sampled of the Ipubi Formation black shale. (A and B) The Ipubi Formation black shales were exposed via nine 1 m deep trenches ~4 m apart in the open pit of the Campevi mine. (C) Composite stratigraphic section of the black shale interval exposed (see text for details). (D) Example of fibrous gypsum-filled lens in the upper interval of the black shale profile; (E) fossils within the upper interval of the stratigraphic profile; (F) 30 cm interval of black shale interbedded with marl, and (G) mudstone within the basal 20 cm of the sampled interval.

Fig. 5. Cross-plot of Re (ppb), ^{192}Os (ppt), and enrichment factor of Re and ^{192}Os versus organic matter for the studied samples. See text for discussion.

Fig. 6. Re-Os geochronological results for the Ipubi Formation black shales from the Campevi mine, Brazil. Regression of the Re-Os isotope data together with the 2σ uncertainties in the isotope ratios and the associated error correlation functions (rho) conducted using the beta version of Isochron program (Li et al., 2019), which incorporates the Isoplot algorithm (A, C, E, G) (Ludwig, 2012) and the Monte Carlo method (B, D, F, H). Isoplot data regressions are shown for all data (A), and with only sample TM09 excluded (C), and with samples TM05 and TM09 excluded (E), and with samples TM05, TM06 and TM09 excluded (G). Monte Carlo approach distribution of age and initial ^{187}Os/^{188}Os values are show in B (all data), D (TM09 excluded), F (TM05 and TM09 excluded), and H (TM05, TM06 and TM09 excluded). The inset shows total uncertainty at the 2 sigma level and the contribution to the total uncertainty from the analytical uncertainty. Bracketed age uncertainties include the uncertainty on the decay constant. See text for discussion.

Fig. 7. A new chronostratigraphic proposal for the deposition of the lithostratigraphic units of the Post-Rift I sequence (Santana Group) of the Araripe Basin based on both regional observations (Assine et al., 2014; Neumann and Assine, 2015; Custódio et al., 2017) and the Re-Os age presented in this study.

Fig. 8. Aptian paleogeographic and paleoceanographic map illustrating the western Tethys Sea incursion in the northeast Brazilian basins. The red arrows represent the

paleocirculation during this period. The Araripe Basin is represented by the yellow star, and the São Luís and Parnaíba basins are represented by the purple and orange star, respectively (modified after Scotese, 2014; Scotese and Moore, 2014; Arai, 2014).

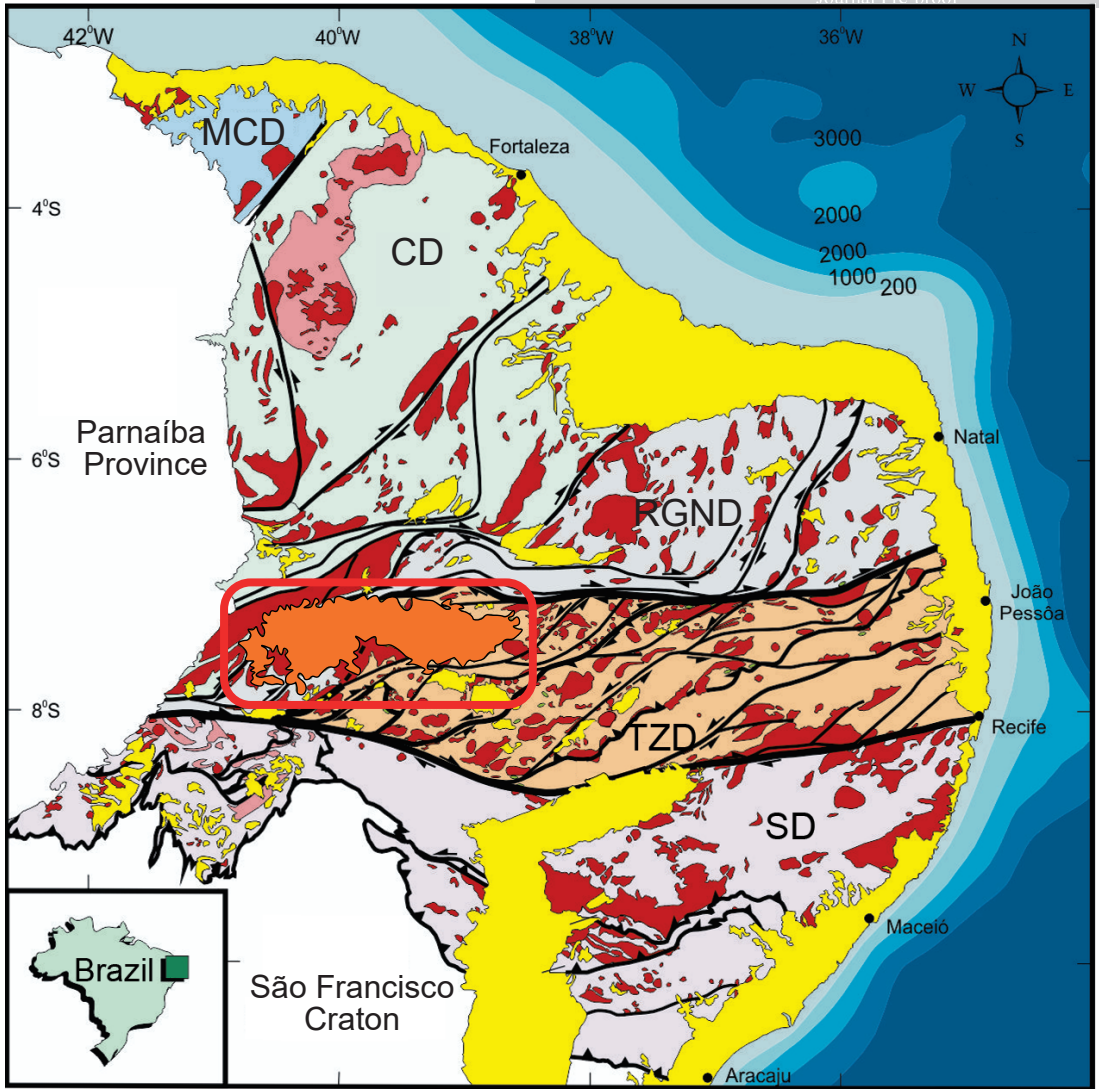
Table 1: Synopsis of organic matter, $CaCO_3$ and aluminium content, enrichment factor data, and Re-Os data for the samples from Ipubi Formation black shale.

Batch/Sample	Lithology	$CaCO_3$ (%)	OM (%)	Al (ppm)	Re (EF)#	^{192}Os (EF)#	Re (ppb)	±	Os (ppt)^	±	^{192}Os (ppt)	±	$^{187}Re/^{188}Os$	±	$^{187}Os/^{188}Os$	±	rho	% Re Blank	% ^{187}Os Blank	% ^{188}Os Blank	Os_i @ 130 myr*	Os_i @ 125 myr*	Os_i @ 124 myr*	Os_i @ 123 myr*	±
TM01	Black Shale	24.5	15.5	64565.7	2.5	689.0	2.2	0.006	69.4	0.4	22.2	0.1	197.2	1.2	2.362	0.019	0.654	0.68	0.01	0.15	1.93	1.95	1.96	1.96	0.02
TM02	Mudstone	24.6	12.3	58397.8	1.6	819.9	1.3	0.004	73.3	0.6	23.9	0.2	108.4	1.0	2.181	0.027	0.677	1.00	0.02	0.17	1.95	1.96	1.96	1.96	0.03
TM03	Black Shale interbedded Marl	43.1	17.9	11182.5	219.9	13741.5	33.7	0.082	273.5	1.6	76.6	0.3	875.6	4.0	3.757	0.020	0.606	0.08	0.01	0.10	1.86	1.93	1.95	1.96	0.03
TM04	Black Shale interbedded Marl	42.1	13.0	6245.9	309.8	22864.5	26.5	0.065	248.2	1.4	71.2	0.3	741.2	3.4	3.489	0.019	0.609	0.10	0.01	0.11	1.88	1.94	1.96	1.97	0.02
TM05	Black Shale interbedded Marl	44.2	18.3	8424.5	180.8	16525.3	20.9	0.051	237.2	1.4	69.4	0.3	598.4	2.7	3.276	0.018	0.610	0.12	0.01	0.12	1.98	2.03	2.04	2.05	0.02
TM06	Black Shale interbedded Marl	45.8	15.5	4802.9	378.3	28062.1	24.9	0.062	234.9	1.4	67.2	0.3	737.4	3.4	3.522	0.019	0.609	0.10	0.01	0.12	1.92	1.99	2.00	2.01	0.02
TM07	Mudstone	37.5	5.1	17730.9	3.1	9703.3	0.7	0.003	26.8	0.2	8.6	0.1	174.0	1.8	2.333	0.031	0.704	1.73	0.05	0.47	1.96	1.97	1.97	1.98	0.03
TM08	Mudstone	34.5	6.1	23893.2	3.1	835.0	1.0	0.003	31.3	0.3	10.0	0.1	204.4	2.1	2.405	0.031	0.697	1.27	0.04	0.40	1.96	1.98	1.98	1.99	0.03
TM09	Mudstone	23.8	12.6	64566.5	0.7	440.5	0.6	0.002	42.4	0.3	14.2	0.1	85.5	0.9	1.922	0.024	0.657	2.13	0.04	0.28	1.74	1.74	1.75	1.75	0.03

^Total Os abundance.

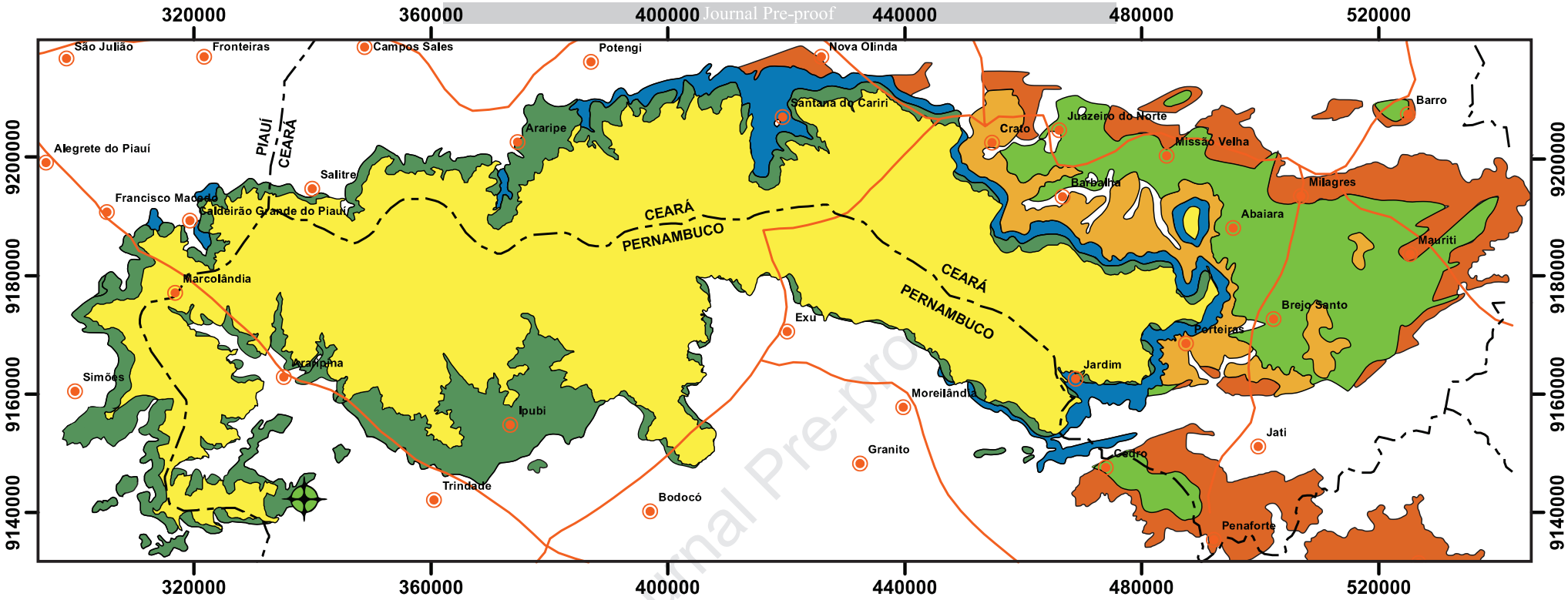
#EF is the Enrichment Factor where $EF_{element}$ X = (X ÷ Al sample) ÷ (X ÷ Al upper crust) (Algeo and Maynard, 2004; Tribovillard et al., 2006).

*Os_i = the calcuated initial $^{187}Os/^{188}Os$ composition at a given depositional age.



BORBOREMA PROVINCE

- Araripe Basin
- Sedimentary Basin
- Paleoproterozoic Granitoid
- Neoproterozoic Granitoid
- Médio Coreaú Domain
- Cearence Domain
- Rio Grande do Norte Domain
- Transversal Zone Domain
- South Domain



LITHOSTRATIGRAPHIC UNITS		TECTONIC SEQUENCE
Araripe Group	Exu Fm. Araripina Fm.	Post-Rift II
Santana Group	Romualdo Fm. Ipubi Fm. Crato Fm. Barbalha Fm.	Post-Rift I
Vale do Cariri Group	Abaiara Fm.	Rift
	Missão Velha Fm. Brejo Santo Fm	Pre-Rift
	Cariri Fm.	Intracratonic
	Basement	

Sampling Location

City

States Boundary

Highway

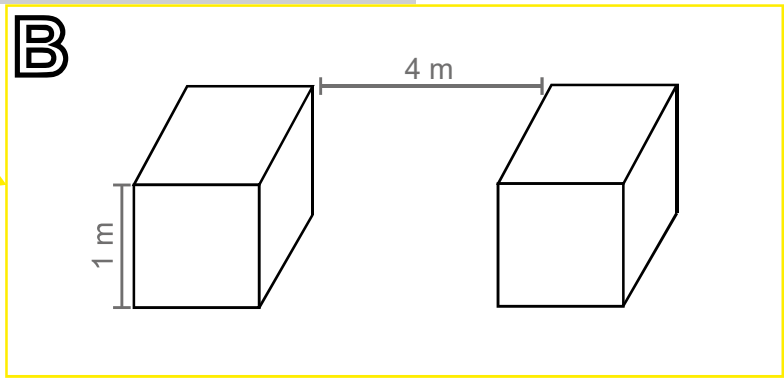
N

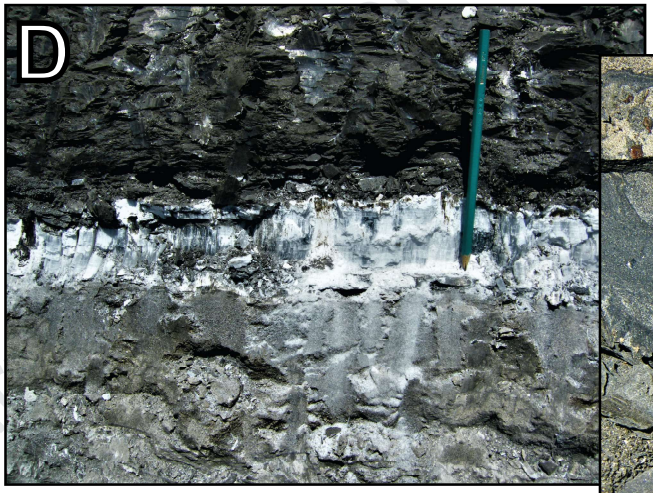
0 5 10 20 30 40 Km

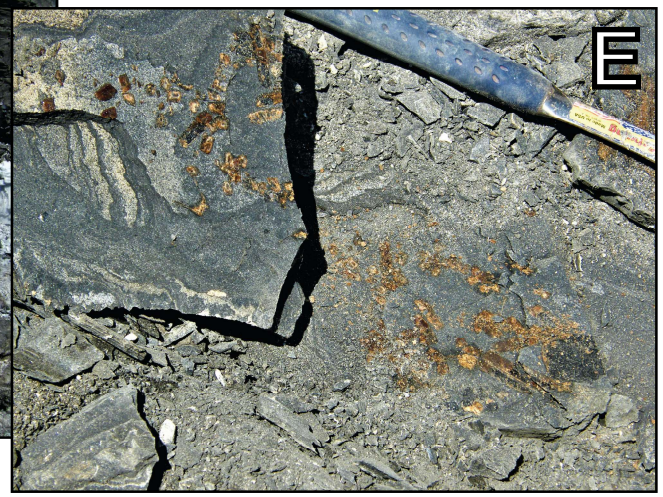
WGS 84

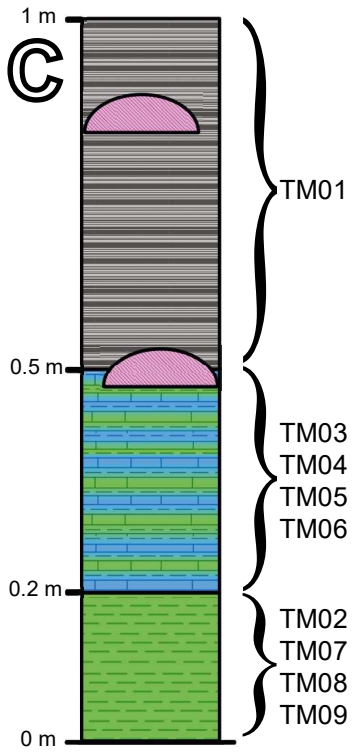
		Coimbra et al. (2002)		Tomé et al. (2014)	
		Biozones	Chronostratigraphy	Biozones	Chronostratigraphy
Romualdo Formation		*Cytheridea* spp.	Aptian-Albian	*Pattersoncypris angulata*	Aptian-Early Albian
		Cicatricosisporites avnimelechi		*Pattersoncypris micropapillosa*	
Ipubi Formation		*Cytheridea* spp.		*Darwinula leguminella*	
Crato Formation		*Cytheridea* spp.		*Cypridea araripensis* *Neuquenocypris (Protoneuquenocypris) antiqua*	
		Cicatricosisporites avnimelechi			
		Sergipae veriverrucata	Late Aptian	*Rhinocypris scabra* *Damonella ultima*	
Barbalha Formation		*Cytheridea* spp.	Aptian-Albian		
		Sergipae veriverrucata	Late Aptian		

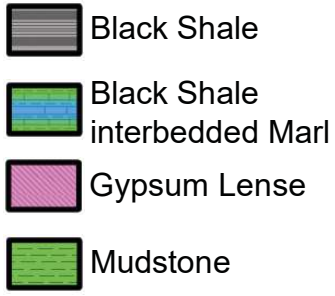
Evaporite
Black Shale
Sandstone
Shale
Limestone

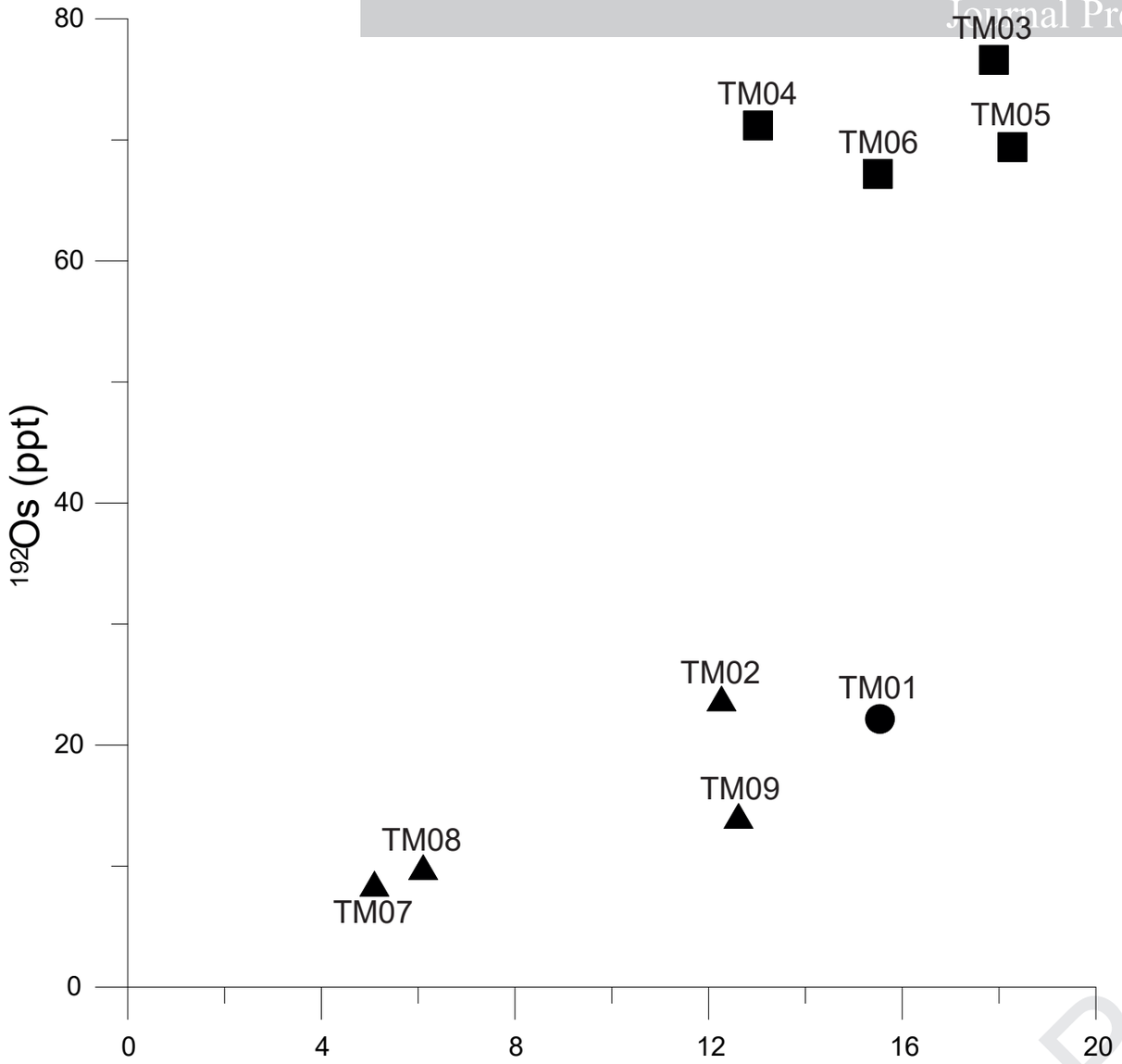


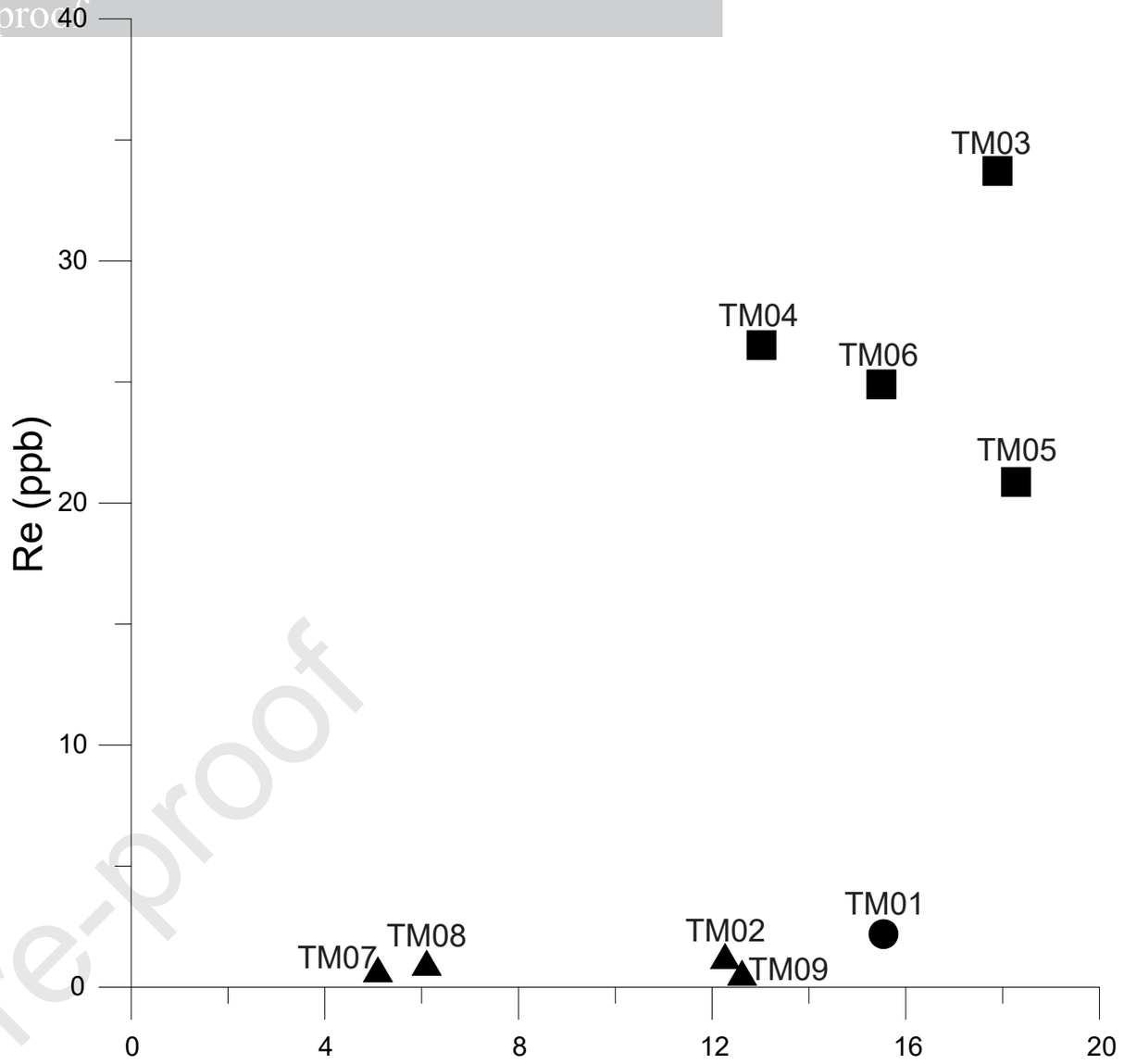


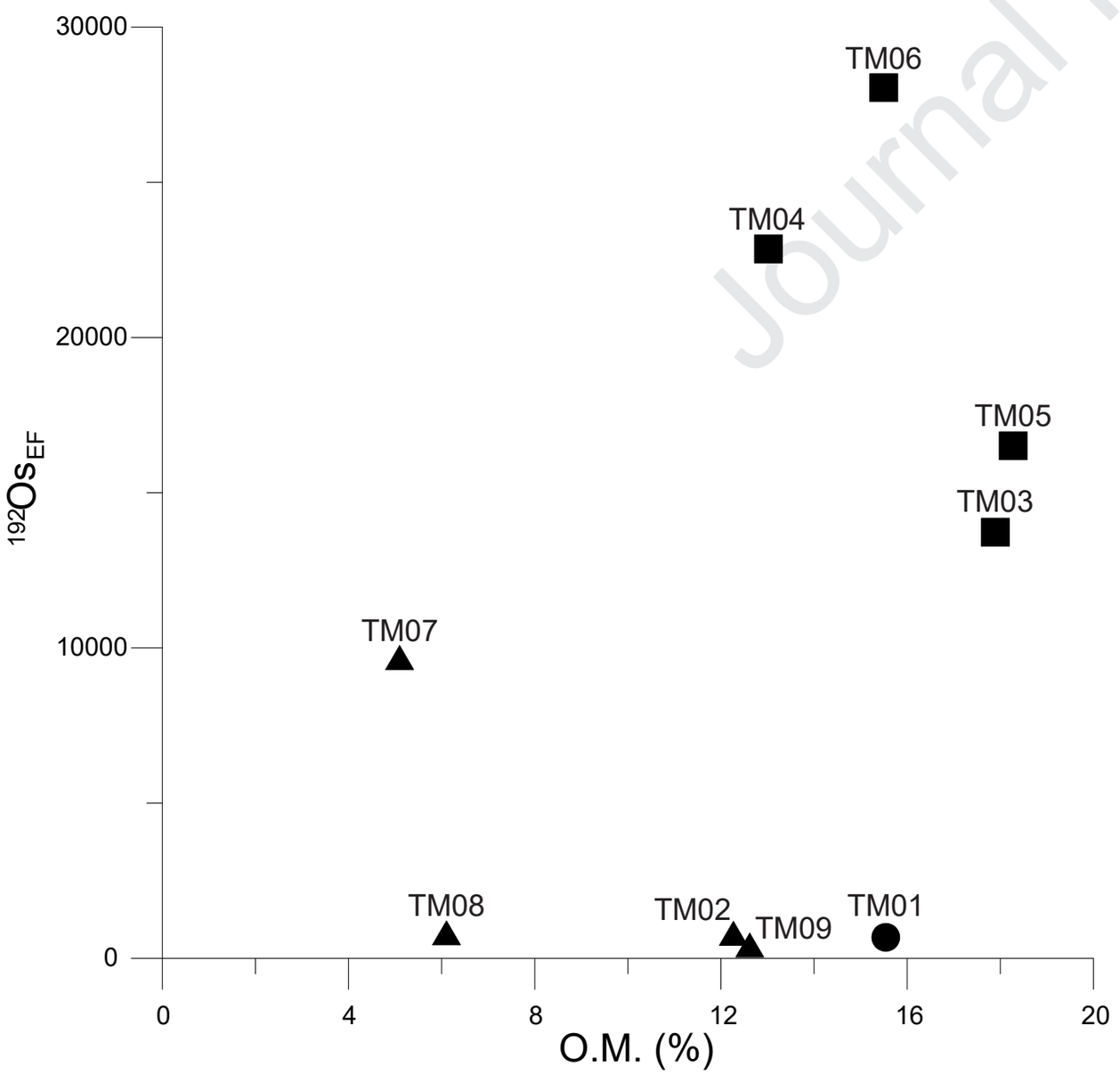


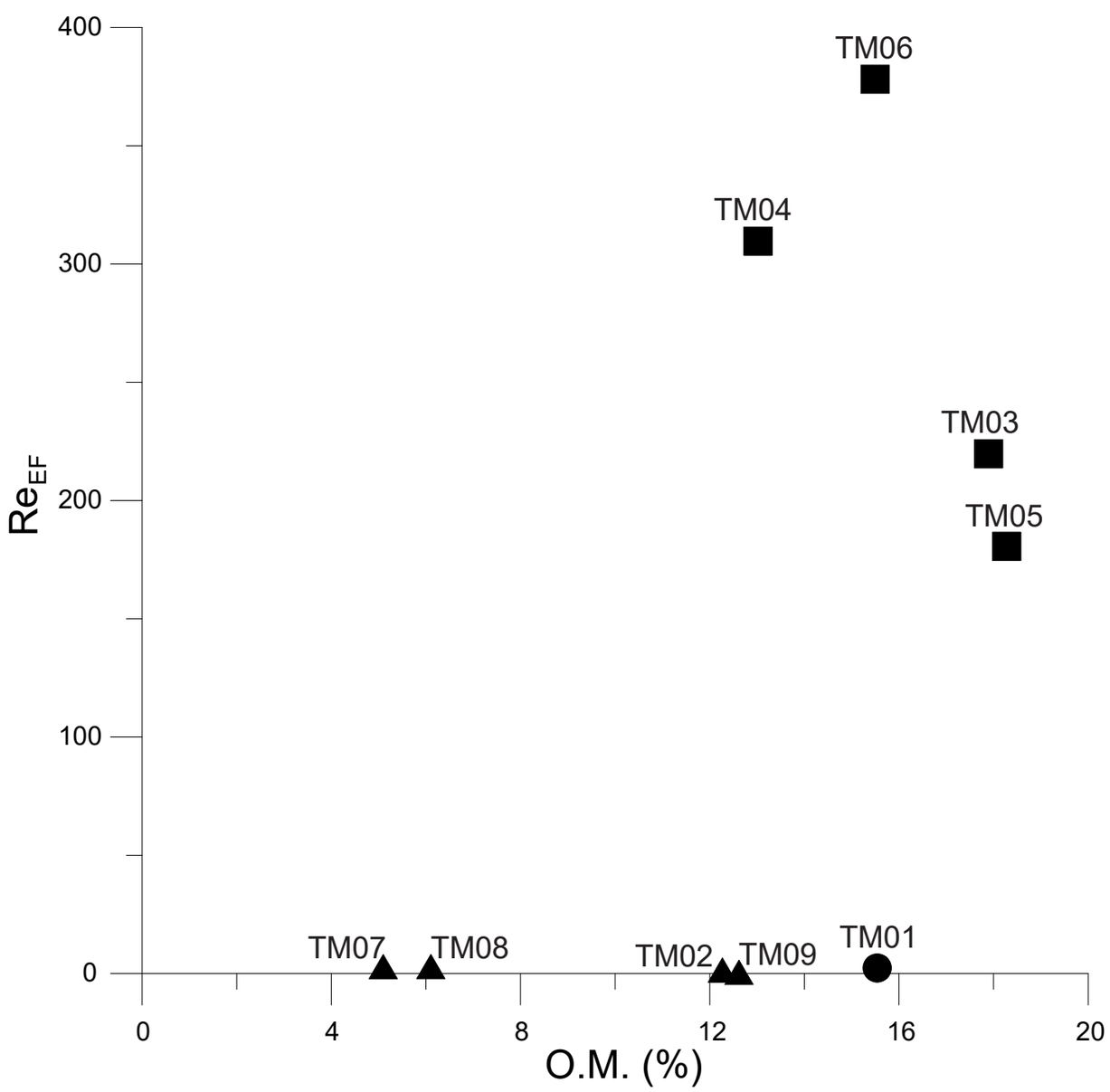


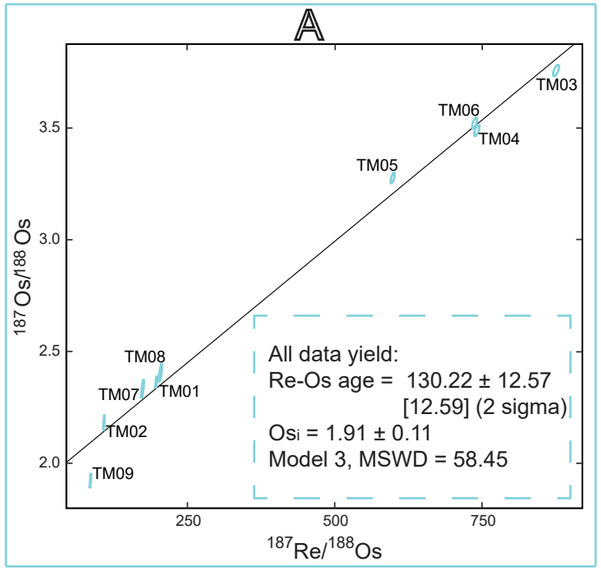


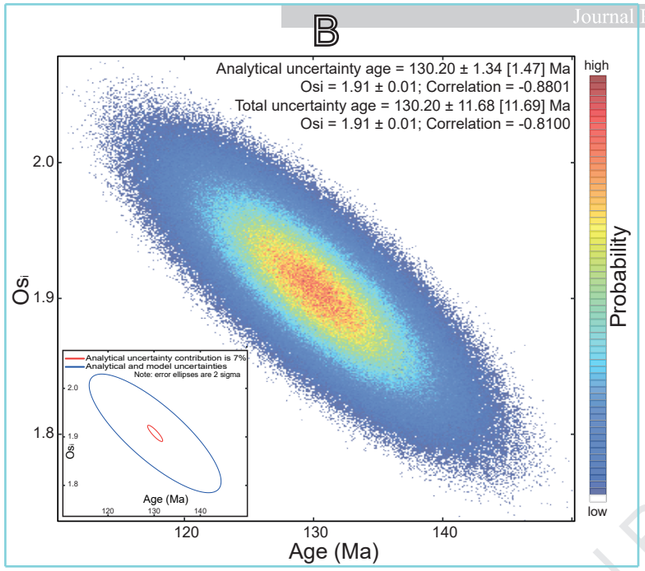


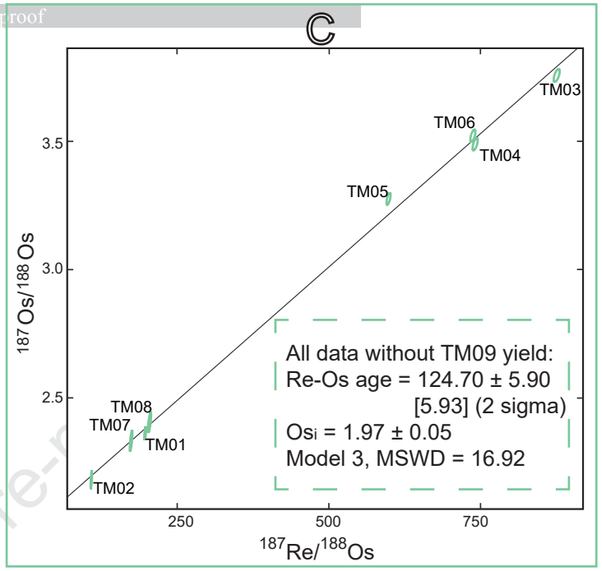


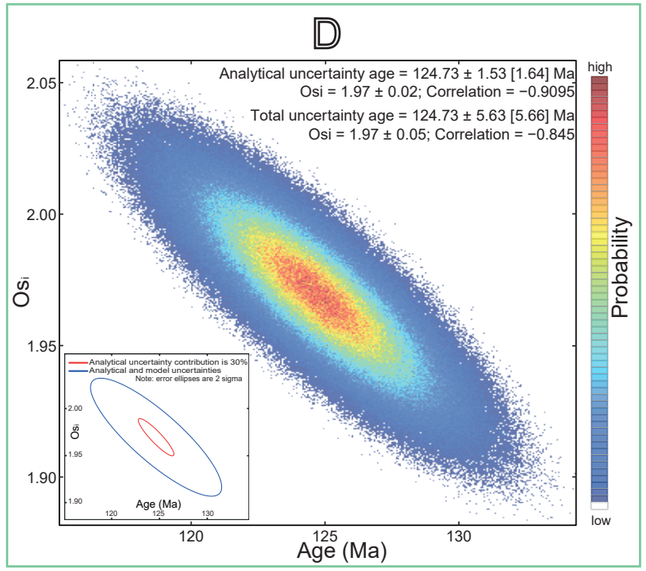


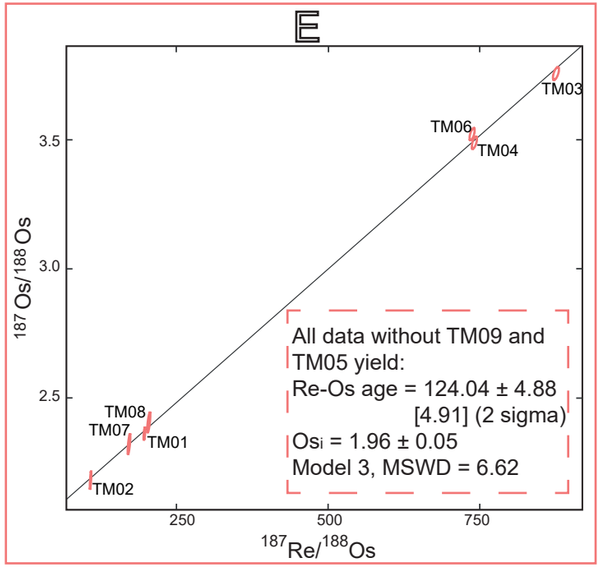


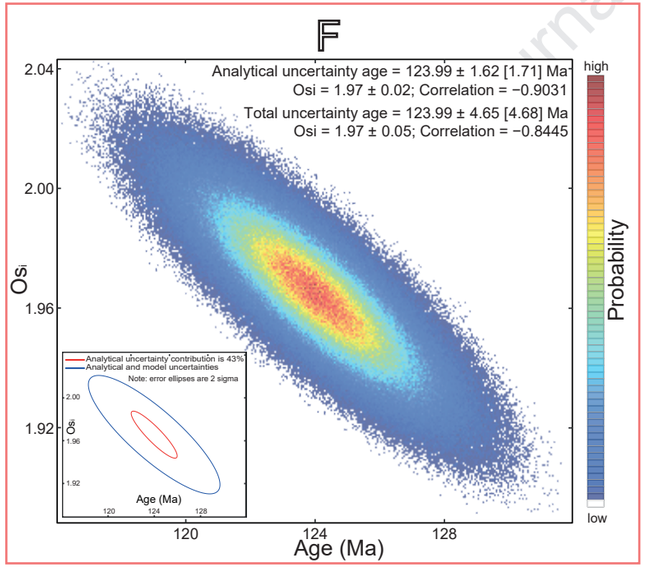


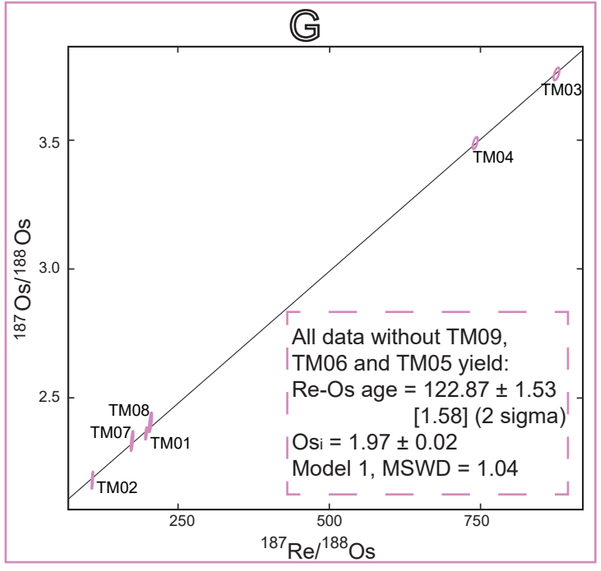


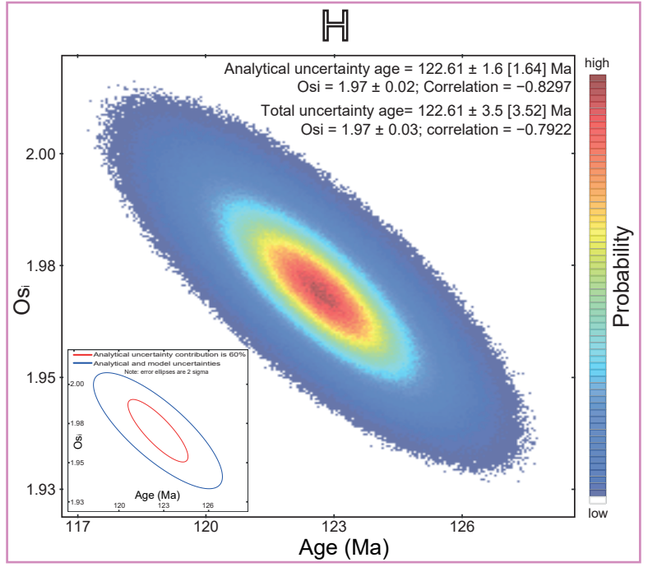




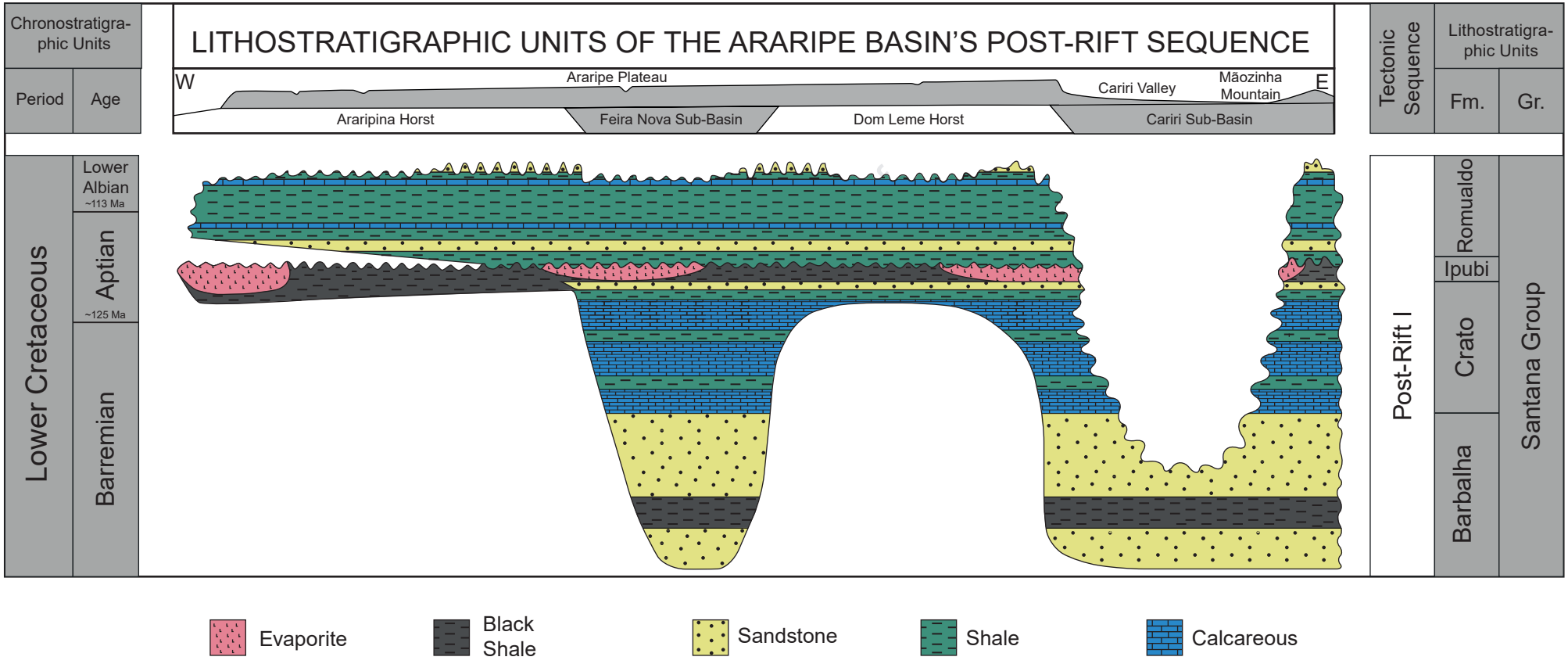









30° N
North America
Western
Tethys Sea
Tethys
Sea
0°
Africa
South America
30° S
India



The Highlights considered for the manuscript are:

1. The first Re-Os absolute age (123 ± 3.5 Ma) for Ipubi Formation black shales in the Araripe Basin indicates the formation is Late Barremian / Early Aptian and not Aptian / Albian, and was deposited prior to the onset of OAE1a.

2. Based on the Re-Os age a new chronostratigraphic model is proposed for the Santana Group, Araripe Basin.

3. Highly radiogenic $^{187}Os/^{188}Os$ compositions of 1.97 ± 0.02 imply that the Araripe Basin records a highly restricted water mass during the Late Barremian / Early Aptian.

AUTHORS CONTRIBUTIONS

THALES LÚCIO: Conceptualization; Validation; Formal analysis; Resources; Writing - Original Draft; Writing - Review & Editing; Visualization.

JOÃO ADAUTO SOUZA NETO: Conceptualization; Validation; Writing - Review & Editing; Project administration; Funding acquisition.

DAVID SELBY: Conceptualization; Methodology; Validation; Formal analysis; Investigation; Resources; Data Curation; Writing - Original Draft; Writing - Review & Editing; Supervision; Project administration.

Conflict of Interest

February 18, 2020

Editorial Department of Journal of South American Earth Sciences

Dear Editor-in-Chief,

We strongly request that the manuscript is not reviewed by any member of the AIRIE research group led by Holly Stein at Colorado State University because of the previous publication history and importantly at present Holly Stein and co-workers are working in the same competitive area, and there is a potential for conflict of interest.

Thank you for your consideration and we look forward to hearing from you.

Sincerely,

Thales Lúcio, PhD Student

Geochemistry Laboratory Applied to Petroleum
Department of Geology
Graduate Program in Geosciences, Federal University of Pernambuco
Avenida da Arquitetura, s/n, Cidade Universitária
Recife, Pernambuco, CEP: 50.740-550, Brazil
Tel.: +55-81-21268240; Fax: +55-81-21268234; e-mail: thaales.lucio@gmail.com